



Prestige Institute of Management and Research (PIMR), Indore (Deemed-to-be-University)

Ordinance for Doctor of Philosophy (Ph.D.) Programme

Prepared as per the University Grants Commission (Minimum Standards and Procedures for the Award of Ph.D. Degree) Regulation, 2022, notified by the University Grants Commission, New Delhi, on November 7, 2022

1. Title and Commencement

1.1 This ordinance shall be called “**Ordinance for the Doctor of Philosophy (Ph.D.) Programme offered by constituent departments/schools of PIMR, Indore (Deemed to be University) across the campuses**”.

1.2 The ordinance shall come into force from the date of approval by the Academic Council / Governing Body / Executive Council of the University.

1.3 The Ph.D. Programme shall be governed by the UGC Regulations for Ph.D. (latest applicable version) and amendments issued from time to time.

2. Objectives of the Ph.D. Programme

The objectives of the Ph.D. programme are:

1. To promote **advanced research and innovation** in all the disciplines approved as research centres.
2. To develop scholars capable of **independent research, teaching, and consulting**.
3. To contribute to **industry, policy-making, and academic advancement** through original research.
4. To build **interdisciplinary knowledge and problem-solving skills** for contemporary business and societal challenges.

3. Eligibility Criteria

A candidate seeking admission to the Ph.D. programme must satisfy the following requirements:

3.1 Academic Qualification

The candidate must have:

1. A **Master’s Degree** or equivalent in a relevant discipline with **at least 55% marks or equivalent grade**.
OR
2. A **Professional Degree** (CA, ICWA, CS, etc.) with **at least 55% marks**.
OR
3. A **4-year Bachelor’s Degree** with **75% marks or equivalent grade** (as per UGC regulations).
OR
4. **M.Phil. Programme** with **at least 55% marks in aggregate or its equivalent grade in a point scale** wherever grading system is followed
OR
5. **Equivalent qualification from a foreign educational institution** accredited by an assessment and accreditation agency which is approved, recognized or authorized by an authority,

established or incorporated under a law in its home country or any other statutory authority in that country to assess, accredit or assure quality and standards of educational institutions.

3.2 Relaxation

A relaxation of **5% marks** or its equivalent grade shall be provided for:

- SC/ST/OBC (Non-Creamy Layer)
- Differently abled candidates
- Economically Weaker Section (EWS)
- Other categories as per Government/UGC rules.

3.3 Subject

A candidate shall ordinarily be permitted to work for Ph.D. Degree in the subject in which he/she has obtained his/her qualifying degree. Provided that research work leading to Ph.D. Degree may be allowed in an allied subject of an interdisciplinary nature of the same faculty or of allied faculties. In such cases, candidates may be permitted to work under one or two co-supervisors along with the supervisor.

4. Duration of the Programme

4.1 Ph.D. Programme shall be for a minimum duration of three (3) years, including coursework, and a maximum duration of six (6) years from the date of admission to the Ph.D. programme.

4.2 Extension beyond the above limits will be at the discretion of the Vice Chancellor, to extend this period by a maximum of two years on an application made by the candidate supported by the research supervisor and on the recommendation of the Dean/Director of the school/centre concerned stating valid reasons for the delay. The total period for completion of a Ph.D. programme should not exceed eight (8) years from the date of admission in the Ph.D. programme.

Female Ph.D. scholars may be provided Maternity Leave/Child Care Leave for up to 240 days in the entire duration of the Ph.D. programme. Female Ph.D. scholars and Persons with Disabilities (having more than 40% disability) may be allowed an additional relaxation of two (2) years; however, the total period for completion of a Ph.D. programme in such cases should not exceed ten (10) years from the date of admission in the Ph.D. programme.

4.3 A candidate who wants to drop out of the doctoral program due to an exigency can maintain his/her candidacy valid for one year, subject to prior approval of the Vice-Chancellor. The said candidate will be readmitted if she/he pays the appropriate fees at the end of the period of absence. The duration of the PhD will remain as mentioned in 4.1 and 4.2.

4.4 In case of student exchange in a PhD programme, or if any part of the research work is to be carried out at National/International Research Laboratories/Institutions or Centres of Excellence, permission may be granted after successful completion of coursework, recommendation by the Thesis Advisory Committee (TAC) and approval by the Research Advisory Committee (RAC).

4.5 If a research scholar fails to complete the research work in the specified duration as per 4.1 and 4.2, he/she can re-register for the research work of the PhD programme. After re-registration, the duration of the PhD will remain as mentioned in 4.1 and 4.2.

5. Admission Procedure

5.1 Number of Seats

The university shall annually decide well in advance the number of seats available for Ph.D. programme in each subject. The university shall notify a prospectus in advance on its website specifying the number of seats for admission, subject/discipline-wise distribution of available seats, criteria for admission, the procedure for admission, and all other relevant information for the candidates. While assessing the number of vacancies under a supervisor, the seat will be considered vacant on the actual date of submission of Ph.D. thesis under his/her supervision.

5.2 Entrance Test

Candidates who have qualified the **UGC NET / NET-JRF** (or any other national-level test approved by the UGC) may be eligible for admission. However, such candidates must still appear for the **Research Interview**. The foreign students shall also be exempted from the entrance test, but they also have to appear in the interview.

5.3 Notification

The University shall issue an **admission notification** specifying:

- Number of seats
- Research areas
- Eligibility criteria
- Application procedure
- Schedule of admission

5.4 Interview / Viva Voce

Shortlisted candidates shall appear for an **interview before the Doctoral Research Committee (DRC)**. The interview shall evaluate:

- Research aptitude
- Clarity of research interest
- Proposed research area
- Availability of the supervisor

5.4 Final Selection

Selection shall be based on:

Component	Weightage
Entrance Test	70%
Interview	30%

6. Recognition and Allocation of Research Supervisor and Co-supervisor

6.1 Eligibility of Supervisors

A full-time faculty member (Professor/Associate Professor/Assistant Professor) may guide Ph.D. scholars if he/she:

- holds a **Ph.D. degree**
- has a **minimum of five research publications** in peer-reviewed journals (SCOPUS/WoS/ABDC/UGC CARE listed journals),
- and is approved by the **Research Advisory Committee**

Faculty members who fulfil the eligibility criteria will apply for 'Recognition as Research Supervisor' to the Dean/Director of the department/school/Centre. Dean/Director will recommend the application for approval to the Vice Chancellor. The approved Supervisors will receive a letter of recognition from the Office of the Registrar.

PIMR Deemed-to-be-University shall not appoint any external expert as supervisor. Adjunct faculty members will not act as Research Supervisors and can only act as co-supervisors.

Co-supervisors from within the same department/school/centre or other departments/school/centre may be permitted with the approval of the Research Advisory Committee.

The allocation of a Research Supervisor for a selected Ph.D. scholar shall be decided by the department/school/centre concerned depending on the number of scholars per Research Supervisor, the available specialization among the Supervisors, and the research interests of the scholars.

In case of absence of the Ph.D. supervisor for a prolonged period of time of more than 6 months, the RAC may appoint a mentor who is qualified, with the recommendation of the Dean.

If the supervisor leaves the university during the Ph.D. tenure of a Ph.D. Scholar, he/she may be assigned a new supervisor. The decision regarding allocation of a new supervisor will be taken on the basis of the level of completion of research work by the RAC.

6.2 Maximum Number of Scholars Under One Supervisor

A supervisor may guide:

Designation	Maximum Scholars
Professor	8
Associate Professor	6
Assistant Professor	4

7. Coursework

All Ph.D. scholars must complete **mandatory coursework**.

7.1 Duration: Coursework shall normally be completed in **one semester**.

7.2 Minimum Credits: Minimum **16 credits** shall be completed.

Suggested Courses

1. Research Methodology (4 Credits)
2. Review of Literature (4 Credits)
3. Computer Applications for Advanced Research (4 Credits)
4. Research and Publication Ethics (2 Credits)
5. Subject Specific Research Seminar/Conference/Workshop (2 Credits)

All courses prescribed for Ph.D. coursework shall conform to the credit hours and shall specify the content, instructional, and assessment methods. They will be duly approved by the academic council.

7.3 Continuous Evaluation and Comprehensive Examination

Each course shall be continuously evaluated out of **40 marks** through the following suggestive methods of evaluation: written test, quizzes, assignments, and presentations.

After completion of coursework, the scholar shall appear for a **Comprehensive Examination** of **60 marks** conducted by the Doctoral Committee. The examination shall test: subject knowledge, research methodology, and proposed research framework.

A minimum of **50% marks** out of a total of 100 marks is required to pass. The successful completion of the coursework will be treated as a prerequisite for registration of the topic for research.

8. Research Advisory Committee (RAC)

The Research Advisory Committee has to be constituted for each PhD scholar or a group of Ph.D. Scholars pursuing research in the same domain/department. RAC will be specific to each department/school/Centre of the PIMR, Indore (Deemed-to-be-University). The Committee shall consist of:

Sr. No.	Composition	Role
(i)	The Vice-Chancellor/ The Pro-Vice-Chancellor	Chairman
(ii)	The Dean/Director/In-charge of the Department/School/Centre	Member
(iii)	Two experts in the relevant areas, not below the rank of Professor/Associate Professor, one of them may be from the industry nominated by the Vice-Chancellor.	Member
(iv)	Research Supervisor of the Scholar	Member
(v)	The Dean (Research)/Associate Dean (Research)	Ex-officio Member
(vi)	Special Invitee as per requirement	--

In the absence of the Vice-Chancellor/Pro-Vice-Chancellor, the Dean/Director/In-charge of the Department/School/Centre concerned shall be the Chairman. The presence of external members will be mandatory to conduct the RAC meeting.

Functions of RAC:

- To review the research proposal/synopsis and approve the topic of research work of a PhD scholar. A Ph.D. scholar shall appear before the RAC to make a presentation of the proposed research work in the RAC meeting for seeking approval for registration of the topic of thesis.
- To cancel registration of Ph.D. scholar due to various reasons like unsatisfactory work, indiscipline, misconduct, etc.
- To evaluate the six-monthly progress report of the research work carried out and provide further guidance.
- To appoint a qualified mentor, in case of absence of the Ph.D. supervisor for more than 6 months as detailed in clause 6.1 above.
- To allocate a new supervisor if the assigned supervisor leaves the university during the Ph.D. tenure of a Scholar as detailed in clause 6.1 above. To change the Supervisor/Co-Supervisor with proper consent of both the scholar and the supervisor/co-supervisor as detailed in clause 10 below.
- To recommend a panel of referees for thesis evaluation.

9. Registration of the Student and Research Proposal/Synopsis

After successful completion of the Ph.D. course work, the students shall submit the copy of the certificate of the course work and synopsis of the proposed research work in the prescribed Proforma given below to the research centre of the University within two months from the date of declaration of result of the Ph.D. course work. If Ph.D. scholar could not submit these documents within the given time period, two months of additional time may be provided by

the University after payment of the prescribed late fee. No further time will be given, and his/her candidature will be cancelled.

The cover page of synopsis should contain information of the proposed research topic, name of the research scholar, name and affiliation of the supervisor and/or co-supervisor, and forwarded by the Head/Principal/Director/Dean (with seal) of the research centre. The inner pages of synopsis should contain the following information:

1. Title of the Proposed Research
2. Introduction giving purpose of research (to about 200 words)
3. Literature Review
4. Objectives and/or Hypothesis
5. Research Methodology
6. Expected Outcomes
7. Bibliography/References (in standard APA-7 format)
8. List of publications of the candidate (if any).

The candidate shall make an oral presentation of his/her proposed research work before RAC. **Note:** On the request of the supervisor, the Vice Chancellor may permit him/her to be present as an observer during the oral presentation of his/her candidate.

The RAC shall recommend the topic of research of the candidate for the Ph.D. degree. On approval by the RAC, the candidate shall be registered and enrolled as a Ph.D. student from the date on which the candidate deposited the registration fee. The candidate will also be required to pay required fees regularly during his research tenure.

Provided that, if the RAC approves the topic and suggests a minor change in synopsis, then the candidate shall be allowed to submit a revised synopsis through the Chairperson, BOS and Dean of the faculty.

If the RAC does not approve/recommend a candidate for registration to Ph.D. programme, the candidate shall be allowed to make an oral presentation again in the next RAC. Provided that, if the candidate fails to be present or satisfy the RAC for the second time, his/her case will be rejected/cancelled. In such case, the caution money deposited by the candidate shall be refunded.

On the advice of the Supervisor and Co-Supervisor (if any), minor changes in the thesis title may be approved by the Research Advisory Committee at any stage of research.

In case of any dispute in the Research Advisory Committee regarding allied subjects of interdisciplinary nature, the case should be referred to the Academic Council. The Vice Chancellor may constitute a committee in this regard, and the report of the committee should be placed before the Academic Council/Standing Committee for decision.

10. Monitoring of the Progress of Research Work:

The Research Advisory Committee will meet at the start of each semester to evaluate the progress of the research work carried out and provide further guidance. The Ph.D. scholar shall submit his/her attendance details of the last semester duly verified by the Supervisor, a brief progress report on a prescribed format (given below) on the research work carried out, and fee receipt of the next semester.

PROFORMA FOR PROGRESS REPORT

Six monthly progress report of the research work done during the period from _____ to _____.

1. Name of the Research Scholar: _____
2. Subject: _____
3. Topic registered for Ph.D. degree: _____

4. Name of the Supervisor: _____
5. Name of the Co-Supervisor: _____

Description of the guidance on the topic:

Period with dates during which the research scholar has been with the Supervisor for research work. It may also indicate the date(s) of leave availed by the research scholar during the above period:

Remarks of the Supervisor on the work done by the research scholar:

Fees paid vide Receipt No. _____ dated _____.

Signature of the Supervisor

Signature of the Co-Supervisor

Each supervisor shall maintain an attendance register of all the research scholars working under him/her. Attendance details submitted by the Ph.D. scholar should be verified from the attendance register.

The Ph.D. scholar shall appear before the Research Advisory Committee to make a presentation on the progress of his/her work for evaluation and further guidance. The Research Advisory Committee shall submit its recommendations along with a copy of Ph.D. scholar's progress report to the University. A copy of such recommendations shall also be provided to the Ph.D. scholar.

In case the progress of the Ph.D. scholar is unsatisfactory, the Research Advisory Committee shall record the reasons for the same and suggest corrective measures. If the Ph.D. scholar fails to implement these corrective measures, the Research Advisory Committee may recommend, with specific reasons, the cancellation of the registration of the Ph.D. scholar from the Ph.D. programme.

RAC may decide the change of Supervisor/Co-Supervisor on the written request of the Ph.D. scholar with valid reasons and duly forwarded by the supervisor/Co-Supervisor. In such case, RAC may also permit minor changes in the topic of the research due to change of the Supervisor/Co-Supervisor.

11. Submission of Summary and Thesis

11.1 Pre-Submission Seminar:

The candidate shall submit a draft dissertation/thesis to the research centre before submission of the research summary, and shall make a presentation in the research centre open to all faculty members and other research scholars. The feedback and comments obtained from them may be suitably incorporated into the draft dissertation/thesis in consultation with the supervisor/co-supervisor.

11.2 Publication Requirements:

Before thesis submission, the scholar must publish at least two research papers in Scopus-indexed, WoS-indexed, ABDC-indexed journals. A granted Patent will be considered equivalent to a publication. And present at least one research paper in a national/international conference.

11.3 Plagiarism Check

The Ph.D. scholar should use the software provided by the PIMR, Indore to detect plagiarism in the thesis to be submitted and shall follow **University Grants Commission Regulations on Promotion of Academic Integrity and Prevention of Plagiarism**. Overall similarity $\leq 10\%$ (excluding references) is permissible. Similarity higher than 10% may require revision.

11.4 Summary Submission

The candidate shall submit four hard copies and a soft copy of the summary of the thesis through his Supervisor to the Registrar about three months before the anticipated date of submission of the thesis.

The Supervisor shall also submit a panel of at least six names of examiners actively engaged in the concerned area of research and not below the rank of Associate Professor (Reader) or

College Professor in a sealed envelope to the Registrar along with the summary. The panel of examiners shall not be related to the candidate. Out of six examiners, minimum two will be from the Madhya Pradesh state (out of the jurisdiction of the University), and minimum two will be from the states other than Madhya Pradesh. One examiner may be from outside the country.

11.5 Thesis Submission

Within three months from the date of submission of the summary, a Ph.D. scholar shall submit the three hard copies and a soft copy of the thesis for evaluation. If the thesis is not submitted within three months period, three months of additional time may be provided by the University after payment of the prescribed late fee. No further time will be given, and his/her candidature will be cancelled. However, the thesis should be submitted within the available time period as detailed in Clause 4 above.

Note: Soft copy of the summary/thesis may be submitted in Pen drive/ through email to the dedicated Email ID.

The thesis should be accompanied by the following:

- (i) An undertaking in the following format from the Ph.D. scholar that there is no plagiarism.

DECLARATION BY THE RESEARCH SCHOLAR	
I declare that	
1. The research work presented in the thesis entitled _____	

is my own work except as acknowledged in the text and footnotes.	
2. There is no plagiarism in the research work reported in the thesis.	
3. I completed the research work under the supervision of Dr. _____	
(Supervisor) and Dr. _____	(Co-Supervisor) at the _____
_____ (name of the centre) approved by the University.	
4. To the best of my knowledge, this thesis has not been submitted either in whole or in part, for award of any other degree/ diploma at this University or at any other such Institution.	
5. I have put in more than 200 days of attendance after completing Ph.D. course work with the Supervisor or at the centre (Applicable to Full Time Research Scholars only).	
Besides this-	
(a) I have successfully completed the Ph.D. coursework as per norms of UGC-Regulations, 2022.	
(b) I have also given a pre-Ph.D. presentation and successfully incorporated the changes suggested based on feedback and comments received.	
(c) I have published _____ (number) research paper(s) in referred journal(s) indexed in Scopus/WoS/ABDC and presented _____ (number) research papers in conferences/seminars from the research work of the thesis. I have also produced evidence of the same in the form of reprints and/or presentation certificates.	
Date: _____	Signature of the Research Scholar
Forwarded by	
Signature of the Supervisor	Signature of the Co-Supervisor

(ii) The Certificate in the following format from the Research Supervisor together with Co-supervisors, if any, that the thesis has not been submitted for the award of any other degree/diploma to any other Higher Educational Institution and fulfils the requirements of the ordinance relating to the Ph.D. Degree of the University.

CERTIFICATE OF THE SUPERVISOR	
This is to certify that the work entitled _____	

is a piece of research work done by Shri/Smt./Ku. _____	
under my/our supervision for the award of degree of Doctor of Philosophy of _____	
(Name of the Faculty) from _____ (Name of the School), PIMR	
Deemed to be University, Indore, (M.P.), India.	
To the best of my knowledge and belief, the thesis:	
1. embodies the research work done by the candidate himself/herself.	
2. has duly been completed.	
3. fulfils the requirements of the Ordinance relating to the Ph.D. degree of the University, and	
4. is up to the standard both in respect of contents and language for being referred to the examiner.	
Signature of the Supervisor	Signature of the Co-Supervisor
Forwarded by	
Head /Principal of the Research Centre (with seal and date)	

(iii) A list of research papers published/communicated for publication.

(iv) The candidate shall also remit with the thesis a prescribed examination fee.

12. Evaluation and Assessment Methods (Minimum Standards for Award of the Degree)

12.1 Evaluation of Thesis

On receipt of the thesis along with the certificates and fee, it shall be sent to the examiners. The Ph.D. thesis submitted by a research scholar shall be evaluated by his/her Research Supervisor and at least two external examiners, who are not in the jurisdiction of the University, of whom one examiner may be from outside the country.

All examiners, including the Supervisor, shall submit their report in the prescribed format recommending: Acceptance/Major Revision/Minor Revision/Rejection of the thesis together with detailed comments on the points. The examiner may also give a list of the questions to be asked at the viva voce examination.

The thesis to be accepted for the award of the Ph.D. degree must comply with the following conditions:

- (a) It must be a piece of research work characterised either by the discovery of the facts or by a fresh approach towards the interpretation of facts; in either case it should evince the candidate's capacity for critical examination and sound judgment.
- (b) It must be satisfactory in point of language and presentation of the subject matter.

The Vice Chancellor can recall the thesis from an examiner who fails to send the report within two months of the date of dispatch of the thesis and appoint another examiner.

12.2 Decision Based on Examiner's Report

- (a) In case both external examiners reject the thesis, or one rejects the thesis, and the other recommends a revision, the thesis shall be rejected.
- (b) If both the examiners recommend revision of the thesis, the candidate shall be called upon to revise the thesis in the light of the observations of examiners.
- (c) If one examiner approves the thesis and the other rejects it or recommends revision of the thesis, the thesis shall be sent to a third examiner from the panel of examiners approved by the Vice Chancellor without the report of earlier examiners. The third examiner shall be asked to give his/her opinion, and this opinion shall be final.
- (d) In case both the original examiners accept the thesis for the award of the Ph.D. Degree or in the event of it being referred to the third examiner, the third examiner accepts the thesis for the award of the Ph.D. Degree, the candidate shall be called upon to appear at the viva-voce examination before a board of examiners comprising the Supervisor/Co-Supervisor (if any) and one of the two examiners approved by the Vice Chancellor who have accepted the thesis for the award of the Ph.D. degree. Provided that the Vice Chancellor shall appoint the Head Department/School of Studies or Chairman Board of Studies of the subject concerned to act as viva-voce examiner in place of the Supervisor, in case the candidate is related to the Supervisor.

12.3 Viva Voce Examination

- (a) The Supervisor/Head University Teaching Department/School of Studies/Chairman, Board of Studies of the subject concerned, as the case may be, shall be informed of the name of the external examiner appointed by the Vice Chancellor to conduct the viva-voce examination. The date fixed for the viva-voce shall be informed to the candidate and the Registrar. Provided that, looking to the special circumstances, the Vice Chancellor may appoint an alternate viva-voce examiner if both the examiners are not in a position to conduct the viva-voce examination.
- (b) The viva-voce examination shall be conducted at the University Teaching Department/School of Studies in the subject/research centre/or at any place, preferably online with recording, fixed by the University for the purpose. The date, time and place of viva-voce examination shall be notified by the Registrar at least a week in advance. At the time of viva-voce examination, the board of examiners shall be provided the reports of the examiners, which shall be returned along with the report of viva-voce examination to the Registrar.
- (c) The candidate shall present the work embodied in the thesis before the board of examiners, members of the faculty, research scholars and other interested persons. After the presentation of the research work, the Board shall ask questions together with those questions which have been given along with examiners' reports. Others in the audience can also ask questions and the candidate shall reply only to those questions which are permitted by the external examiner.

12.4 Award of Ph.D. Degree

After the viva-voce, the recommendation of the examiners shall be reported to the Executive Council for the award of Ph.D. degree to the candidate. One copy of the thesis will be kept in

the University Library, and another copy will be kept in the Departmental Library of the Institution where the research work was carried out; the third will be returned to the supervisor.

After successful completion of the evaluation process and before the announcement of the award of the Ph.D. degree, the University shall submit an electronic copy of the Ph.D. thesis to the INFLIBNET/ Shodhganga and archived in the University library for hosting the same so as to make it accessible to all Institutions/Colleges.

Upon successful completion of coursework, thesis evaluation, and viva voce, the candidate shall be awarded the **Doctor of Philosophy (Ph.D.) Degree**.

After the declaration of the result, the successful candidates may be provided copies of reports of examiners who recommended for the award of the degree on payment of the prescribed fee. The reports will not disclose the identity of the examiners.

The University shall develop appropriate methods so as to complete the entire process of evaluation of Ph.D. thesis within a period of six months from the date of submission of the thesis.

12.5 Reappear/Revise/Reject the Thesis After Viva-Voce

In case the recommendations of the viva-voce examiners differ from that of the thesis examiner or there is a difference of opinion between the viva-voce examiners, the candidate shall reappear at a second viva-voce examination within three months. If the candidate fails to satisfy the viva-voce examiners a second time, his/her thesis shall be finally rejected. Such candidates would be required to pay an additional prescribed fee for the second viva-voce. The second viva voce should be held by the same external examiner who had conducted the first one. In case of unavailability of the same examiner for the second viva-voce, the Vice Chancellor shall appoint a new examiner.

If the examiners recommend that the candidate be asked to revise/improve his thesis, the candidate has to resubmit his/her thesis not earlier than six months and not later than eighteen months, the period being counted from the date of the issue of order to the candidate. The candidate shall be provided examiners' reports without disclosing the names of the examiners to enable him/her to improve the thesis. All the copies of the thesis shall also be returned to the candidate.

In case the candidate is allowed to resubmit the thesis, he/she will have to pay a prescribed fee afresh at the time of resubmission, but it shall not be necessary for him to reproduce any certificate of further attendance at the institution at which he/she carried out the work. The resubmitted three copies with a soft copy of the thesis must make clear mention that it is a revised version.

The thesis shall be examined, as far as possible, by the examiners who finally recommended the revision.

In case both the examiners of the revised thesis accept the thesis for the award, then the candidate shall be awarded the Ph.D. degree on successful viva-voce examination as per provisions of Clause 12.3 of the Ordinance.

13. Publication of Thesis

The University may allow the student to publish his/ her thesis in the form of a Book / any other publications in case the student wishes so after obtaining No Objection Certificate (NOC). The thesis shall be published only with the permission of the University and such publication shall state on the title page itself that this was a thesis approved for the award of the Ph. D degree of the University.

14. Ph.D. through Part-time/Distance Mode

14.1 For a part-time Ph.D. programme, the candidate will submit a “No Objection Certificate” to the University from the appropriate authority in the organisation where the candidate is employed, clearly stating that:

- i. The candidate is permitted to pursue studies on a part-time basis.
- ii. His/her official duties permit him/her to devote sufficient time for research.
- iii. If required, he/she will be relieved from the duty to complete the coursework.

14.2 The University will not conduct Ph.D. programmes through distance and/or online mode.

15. Issuing a Provisional Certificate

Prior to the actual award of the Ph.D. degree, the degree-awarding University shall issue a provisional certificate to the effect that the Ph.D. is being awarded in accordance with the provisions of the UGC Regulations, 2022.

If UGC notifies any change in future in its Regulations governing “Minimum Standards and Procedure for Awards of Ph.D. Degrees”, then the same will be incorporated in the existing Ordinance with the approval of the Executive Council of the University from the date of publication in the Gazette by UGC.

16. Academic Integrity and Ethics

The University shall strictly enforce research ethics. Acts of misconduct include: plagiarism, data fabrication, and falsification of results. Such acts may lead to the **cancellation of registration or a degree**. The University shall adopt plagiarism policies consistent with **UGC Academic Integrity Regulations**.

17. Withdrawal and Cancellation

On detection of any irregularity, misconduct, violation of rules, and unsatisfactory progress, the University shall take suitable steps to cancel registration or withdraw the degree.

18. Academic, Research, Administrative and Infrastructure Requirements to be fulfilled by the Research Establishments/Department/Schools for Getting Recognition as a Research Centre:

Post-graduate Departments/Schools of the University with at least two Ph.D. qualified teachers in the Department/School concerned along with required infrastructure, supporting administrative and research promotion facilities as per this Ordinance, shall be considered eligible to offer Ph.D. programmes.

Departments/Schools with adequate facilities for research as mentioned below alone shall offer Ph.D. programme:

- In case of science and technology disciplines, exclusive research laboratories with sophisticated equipment as specified by the University, with provision for adequate

space per research scholar along with computer facilities and essential software, and uninterrupted power and water supply.

- In case of all other disciplines including S&T, earmarked library resources including latest books, Indian and International journals, e-journals, extended working hours for all disciplines, adequate space for research scholars in the Department/Library for reading, writing and storing study and research materials.

19. Interpretation of Ordinance

The **Academic Council** shall have the authority to interpret the provisions of this ordinance from time to time.

The fee structure and remunerations related to the Ph.D. programme shall be decided by the **Executive Council** of the University from time to time.

20. Amendments

The University reserves the right to amend this ordinance in accordance with **UGC regulations and institutional policies**.

